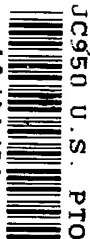


12/31/01



JC950 U.S. PTO

01-8-02

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Please type a plus sign (+) inside this box [+]

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 42390.P9765x2

(maximum 12 characters)

First Named Inventor Anderson, et al.Title: METHOD AND APPARATUS FOR MESSAGE ESCALATION BY DIGITAL ASSISTANTSExpress Mail Label No. EL672745950US

ADDRESS TO: **Commissioner for Patents**
Box Patent Application
Washington, D. C. 20231-0001

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. X **Fee Transmittal Form (e.g., PTO/SB/17)**
(Submit an original, and a duplicate for fee processing)
2. **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3. X **Specification (Total Pages 47)**
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table,
or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. X **Drawings (35 USC 113) (Total Sheets 4)**
5. X **Oath or Declaration (Total Pages 5)**
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed)
 - i. DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
 - c. X Unsigned.
6. **Application Data Sheet. (37 CFR 1.76)**
7. **CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)**
8. **Nucleotide and/or Amino Acid Sequence Submission**
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statement verifying identity of above copies

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12/31/01

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ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & documents(s))
10. ☐ a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
- ☐ b. Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ a. Information Disclosure Statement (IDS)/PTO-1449
- ☐ b. Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: _____

18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP)
 Of Prior Application No.: 09/865,919 Filed 05/24/2001 Examiner not yet known Group Art Unit 2152
 (which is a ☐ continuation/ ☐ divisional/ ☐ CIP of prior application no. _____)
 which is a ☐ continuation/ ☐ divisional/ ☐ CIP of prior application no. _____) (List entire chain of priority)

Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority.
 For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18B. Statement under 37 CFR 3.73(b) for continuing application:
 The undersigned states that _____ (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel. No. _____ Frame No. _____ (or a copy of which is attached).

19. Correspondence Address

☒ Customer Number or Bar Code Label 008791
 or (Insert Customer No. or Attach Bar Code Label here)
☒ Correspondence Address Below

NAME John P. Ward
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard
Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (408) 720-8300, x237 FAX (408) 720-9397

Name (PRINT/TYPE): Christian A. Nicholes Registration No.: P-50,266
 Signature: *Christian A. Nicholes* Date: December 31, 2001

101227 0366E001

FEE TRANSMITTAL FOR FY 2002

TOTAL AMOUNT OF PAYMENT \$1,316.00

Complete if Known:

Application No. not yet assigned

Filing Date December 31, 2001

First Named Inventor Anderson, et al.

Group Art Unit Not yet assigned

Examiner Name Not yet assigned

Attorney Docket No. 42390.P9765x2

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge deficiencies in fees and credit any over payments to:

Deposit Account Number 2-2666

Deposit Account Name _____

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed: ☒ Check
☐ Money Order
☐ Other

FEE CALCULATION

1. BASIC FILING FEE

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility application filing fee	<u>740.00</u>
106	330	206	165	Design application filing fee	_____
107	510	207	255	Plant filing fee	_____
108	740	208	370	Reissue filing fee	_____
114	160	214	80	Provisional application filing fee	_____

SUBTOTAL (1) \$ 740.00

2. EXTRA CLAIM FEES

		<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims	<u>38</u>	- 20** = <u>18</u>	X 18.00	= 324.00
Independent Claims	<u>6</u>	- 3** = <u>3</u>	X 84.00	= 252.00
Multiple Dependent				= _____

**Or number previously paid, if greater; For Reissues, see below.

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 576.00

TOTAL 0966E007

Large Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)
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Other fee (specify) _____

Other fee (specify) _____

***Reduced by Basic Filing Fee Paid**

Typed or Printed Name: Christian A. Nicholes

Reg. Number: P-50,266

10/04/01

EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL672745950US

Date of Deposit: 12/31/01

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to:

Box PATENT APPLICATION
Commissioner for Patents
Washington, D.C. 20231-0001.

Judy L. Steinkraus

12/31/01

Serial/Patent No.: tba Filing/Issue Date: December 31, 2001
Client: Intel Corporation
Title: METHOD AND APPARATUS FOR MESSAGE ESCALATION BY DIGITAL ASSIST-
ants
BSTZ File No.: 42390.P9765x2 Atty/Secty Initials: JPW (BDB) jxs
Date Mailed: December 31, 2001 Docket Due Date: n/a
The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:
☐ Amendment/Response (____ pgs.)
☐ Appeal Brief (____ pgs.) (in triplicate)
☐ Application - Utility (____ pgs., with cover and abstract)
☐ Application - Rule 1.53(b) Continuation (____ pgs.)
☐ Application - Rule 1.53(b) Divisional (____ pgs.)
☒ Application - Rule 1.53(b) CIP (47 pgs.)
☐ Application - Rule 1.53(d) CPA Transmittal (____ pgs.)
☐ Application - Design (____ pgs.)
☐ Application - PCT (____ pgs.)
☐ Application - Provisional (____ pgs.)
☐ Assignment and Cover Sheet
☒ Certificate of Mailing
☒ Declaration & POA (5 pgs.) (unexecuted)
☐ Disclosure Docs & Orig & Copy of Invention Signed Letter (____ pgs.)
☒ Drawings: 4 # of sheets includes _____ figures
Figs. 1, 2, 3, and 4.
☐ Other: _____
☒ Express Mail No.: EL672745950US ☒ Check No.: 47418
☐ _____ Month(s) Extension of Time Amt: \$576.00
☐ Information Disclosure Statement & PTO-1449 (____ pgs.) ☐ Check No.: 47399
☐ Issue Fee Transmittal Amt: \$740.00
☐ Notice of Appeal
☐ Petition for Extension of Time
☐ Petition for _____
☒ Postcard
☐ Power of Attorney (____ pgs.)
☒ Preliminary Amendment (1 pgs.)
☐ Reply Brief (____ pgs.)
☐ Response to Notice of Missing Parts
☐ Small Entity Declaration for Indep. Inventor/Small Business
☒ Transmittal Letter, in duplicate (2 pages)
☒ Fee Transmittal, in duplicate (2 pages)